



FORM PTO-1390 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 217218US2PC1 10019278	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR) 097926847	
INTERNATIONAL APPLICATION NO. PCT/FR00/01827		INTERNATIONAL FILING DATE 29 June 2000		PRIORITY DATE CLAIMED 1 July 1999	
TITLE OF INVENTION PROCESS AND DEVICE FOR DEPOSITING, BY ELECTRON CYCLOTRON RESONANCE PLASMA, FILMS OF CARBON NANOFIBRE WEBS AND THE FILMS OF WEBS THUS OBTAINED					
APPLICANT(S) FOR DO/EO/US Marc DELAUNAY et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau)</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </li> <li><input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ul> </li> </ul> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol> <p>Items 13 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> <li>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>22. <input type="checkbox"/> Certificate of Mailing by Express Mail</li> <li>23. <input checked="" type="checkbox"/> Other items or information:</li> </ol> <p>Notice of Priority/Form PTO-1449 PCT/IB/304/Drawings (4 sheets) PCT/IB/308</p>					

U.S. APPLICATION NO. <b>10/019278</b>	INTERNATIONAL APPLICATION NO. <b>PCT/FR00/01827</b>	ATTORNEY'S DOCKET NUMBER <b>217218US2PCT</b>
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24. The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b>				<b>CALCULATIONS PTO USE ONLY</b>	
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1040.00				<div style="border: 1px solid black; width: 100px; height: 100px; margin: auto;"></div>	
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$890.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				<b>\$0.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	30 - 20 =	10	x \$18.00	<b>\$180.00</b>	
Independent claims	3 - 3 =	0	x \$84.00	<b>\$0.00</b>	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,070.00</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$1,070.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,070.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,070.00</b>	
				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of <b>\$1,070.00</b> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>15-0030</b> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:					
 <b>22850</b> <b>Surinder Sachar</b> <b>Registration No. 34,423</b> (703) 413-3000					
<div style="text-align: right;">                   SIGNATURE  <b>Marvin J. Spivak</b>                  NAME  <b>24,913</b>                  REGISTRATION NUMBER  <b>Jan 2 2002</b>                  DATE             </div>					

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
MARC DELAUNAY ET AL : ATTN: APPLICATION DIVISION  
SERIAL NO: NEW U.S. PCT APPLICATION :  
(Based on PCT/FR00/01827)  
FILED: HEREWITH :  
FOR: PROCESS AND DEVICE FOR :  
DEPOSITING, BY ELECTRON  
CYCLOTRON RESONANCE  
PLASMA, FILMS OF CARBON  
NANOFIBRE WEBS AND THE  
FILMS OF WEBS THUS OBTAINED

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Prior to a first examination on the merits, please amend the above-identified application as follows:

IN THE CLAIMS

Please cancel Claims 1-26 without prejudice.

Please add new Claims 27-56 as follows:

27. (New) Process for depositing, by electron cyclotron resonance plasma, a web of carbons nanofibres or nanotubes onto a substrate without any catalyst, by injection of a microwave power into a deposition chamber comprising a magnetic structure with a highly unbalanced magnetic mirror and at least one electron cyclotron resonance zone within an interior of the deposition chamber itself and opposite the substrate, in which, under a pressure

less than  $10^{-4}$  mbar, at least one of ionization and dissociation of a gas containing carbon is induced in the magnetic mirror in a center of the deposition chamber, thus producing species that deposit on the substrate, which is heated.

28. (New) Process according to claim 27, comprising the following steps:

heating the substrate;

establishing a pressure less than or equal to  $10^{-4}$  mbar of a gas containing carbon;

injecting the microwave power, and creating the plasma from the gas containing carbon, for a value of the magnetic field corresponding to the electron cyclotron resonance;

creating a potential between the plasma and the substrate;

at least one of dissociating and ionizing molecules in the magnetic mirror at the center of the deposition chamber; and

depositing the species formed on the substrate in order to obtain a web of carbon nanofibres or nanotubes.

29. (New) Process according to claim 28, in which the steps are carried out simultaneously.

30. (New) Process according to claim 27, in which the deposited carbon is a graphite type carbon with a minority proportion of  $sp^3$  bonds and a majority proportion of  $sp^2$  bonds.

31. (New) Process according to claim 27, in which the structure of the magnetic mirror is such that a magnetic field is maximum ( $B_{\max}$ ) at microwave injection, the magnetic field is minimum ( $B_{\min}$ ) at the center of the deposition chamber, and the magnetic field increases on the substrate ( $B_{\text{substrate}}$ ).

32. (New) Process according to claim 27, in which a mirror ratio upstream at the microwave injection, defined by  $r_1 = B_{\max} \text{ (in Gauss)} / B_{\min} \text{ (in Gauss)}$ , is greater than 4.

33. (New) Process according to claim 27, in which a mirror ratio, downstream towards the substrate, defined by  $r_2 = B_{\text{substrate}} \text{ (in Gauss)} / B_{\min} \text{ (in Gauss)}$ , is greater than or equal to 1.5.

34. (New) Process according to claim 27, in which the substrate is heated to a temperature of 500 °C to 750 °C.

35. (New) Process according to claim 27, in which the pressure is less than or equal to  $8 \times 10^{-5}$  mbar.

36. (New) Process according to claim 27, in which the gas containing gas is chosen from methane, ethane, ethylene, acetylene, and their mixtures, possibly supplemented with hydrogen.

37. (New) Process according to claim 27, in which the heating of the substrate is achieved by electron bombardment or external heating.

38. (New) Process according to claim 27, in which the injection of the microwave power takes place at a frequency of 2.45 GHz.

39. (New) Process according to claim 27, in which the substrate is positively polarized, preferably from +20 volts to +100 volts, and the plasma is connected to a frame.

40. (New) Process according to claim 27, in which the plasma is negatively polarized, preferably from -20 to -100 volts, and the substrate is connected to a frame.

41. (New) Device for depositing, by electron cyclotron resonance (ECR) plasma, films of carbon nanofibre webs onto a substrate without a catalyst, the device comprising:

a deposition chamber;

means for creating a magnetic structure with a strongly unbalanced magnetic mirror in the deposition chamber;

an electron cyclotron resonance zone within an interior of the deposition chamber and opposite the substrate;

means for injecting a microwave power into the deposition chamber; and

means for creating a pressure less than  $10^{-4}$  mbar of a gas containing carbon within the interior of the deposition chamber.

42. (New) Device according to claim 41, further comprising means for heating the substrate.

43. (New) Device according to claim 41, further comprising means for creating a potential difference between the plasma and the substrate.

44. (New) Film, which may be on the substrate, formed of a web or network of interconnected carbon nanofibres or nanotubes, like a spider's web, the film being free of any catalyst.

45. (New) Film according to claim 44, in which the carbon is a graphite type carbon with a minority proportion of sp<sup>3</sup> bonds and a majority proportion of sp<sup>2</sup> bonds.

46. (New) Film according to claim 44, in which the web or network has an average mesh size of from one or several tens of nm to one or several hundreds of nm, preferably from 20 to 200 nm.

47. (New) Film according to claim 44, in which the average diameter of the nanofibres or nanotubes is from one or several nm to one or several tens of nm, preferably from 1 to 100 nm.

48. (New) Structure with several layers - or multi-layer structures - comprising at least two layers of carbon nanofibre or nanotube webs according to claim 44.

49. (New) Filter comprising at least one film according to claim 44, which may be on a substrate.

50. (New) Filter according to claim 49, in which the film is spread out over a rigid grid with larger mesh size.

51. (New) Electron accelerating or decelerating nanogrid comprising at least one film according to claim 44.

52. (New) Flat screen, in particular with large dimensions, comprising a film according to claim 44, which may be on a substrate.

53. (New) Filter comprising at least one multi-layer structure according to claim 48, which may be on a substrate.

54. (New) Filter according to claim 53, in which the multi-layer structure is spread out over a rigid grid with larger mesh size.

55. (New) Electron accelerating or decelerating nanogrid comprising at least one multi-layer structure according to claim 48.

56. (New) Flat screen, in particular with large dimensions, comprising at least one multi-layer structure according to claim 48, which may be on a substrate.

#### IN THE ABSTRACT

Please amend the Abstract on page 34 as follows:

## ABSTRACT OF THE DISCLOSURE

Process and device for depositing, by electron cyclotron resonance plasma, a web of carbon nanofibres or nanotubes, on a substrate without a catalyst, by injection of a microwave power into a deposition chamber including a magnetic structure with a highly unbalanced magnetic mirror and at least one electron cyclotron resonance zone within the interior of the deposition chamber itself and opposite the substrate. Under a pressure of less than  $10^{-4}$  mbar, ionization and/or dissociation of a gas containing carbon is induced in the magnetic mirror in the center of the deposition chamber, thus producing species that deposit on the substrate, which is heated. A resulting film, which may be on a substrate, can be formed from a web or a network of interconnected carbon nanofibres or nanotubes, like a spider's web, the film being exempt of a catalyst and a structure of several layers - a multi-layer structure - including at least two layers of a web of carbon nanofibres or nanotubes, as well as filters, electron accelerating or decelerating nanogrids and flat screens including such films or structures.



## REMARKS

Favorable consideration of this application, as presently amended, is respectfully requested.

The present Preliminary Amendment is submitted to place the above-identified application in more proper format under United States practice.

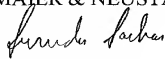
By the present Preliminary Amendment original Claims 1-26 are cancelled and new Claims 27-56 are presented for examination. New Claims 27-56 are deemed to be self-evident from the original disclosure, including the original claims, and thus are not deemed to raise any issues of new matter. Any differences between new Claims 27-56 and original Claims 1-26 are believed to at most broaden the scope of new Claims 27-56.

The Abstract has also been amended by the present response to delete legal phraseology, to be in the form of a single paragraph, and to make other minor clarifications.

The present application is believed to be in condition for a full and thorough examination on the merits. An early and favorable consideration of the present application is hereby respectfully requested.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



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**Marked-Up Copy**

Serial No:

Amendment Filed on:

1-2-2002IN THE CLAIMS

Claims 1-26 (Cancelled).

Claims 27-56 (New).

IN THE ABSTRACT

Please amend the Abstract on page 34 as follows:

--ABSTRACT OF THE DISCLOSURE

Process and device for depositing, by electron cyclotron resonance plasma, a web of carbon nanofibres or nanotubes, on a substrate without a catalyst, by injection of a microwave power into a deposition chamber [comprising] including a magnetic structure with a highly unbalanced magnetic mirror and at least one electron cyclotron resonance zone within the interior of the [said] deposition chamber itself and opposite the [said] substrate[, in which, under], Under a pressure of less than  $10^{-4}$  mbar, [the] ionization and [ ]/[ ] or dissociation of a gas containing carbon is induced in the [said] magnetic mirror in the [centre] center of the deposition chamber, thus producing species that deposit on the [said] substrate, which is heated. A resulting film, which may be on a substrate, can be formed from a web or a network of interconnected carbon nanofibres or nanotubes, like a spider's web, the [said] film being exempt of a catalyst and a structure of several layers - a multi-layer structure - [comprising] including at least two layers of a web of carbon nanofibres or

nanotubes, as well as filters, electron accelerating or decelerating nanogrids and flat screens  
[comprising] including such films or structures.

[No figure.]--

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PROCESS AND DEVICE FOR DEPOSITING, BY ELECTRON CYCLOTRON  
RESONANCE PLASMA, FILMS OF CARBON NANOFIBRE WEBS AND THE  
FILMS OF WEBS THUS OBTAINED

5

DESCRIPTION

10 The present invention concerns a process and a device for depositing, by electron cyclotron resonance plasma, films of carbon nanofibre webs.

In addition, the invention concerns the films of web obtained in this manner.

15 The technical field of the application may be defined, in a general manner, as that of depositing films of carbon on a substrate.

20 Such films are, in particular, films of carbon that emit electrons, but we have also sought to develop processes whose purpose is to synthesise films of diamond, and profitably employ the mechanical, optical and electrical properties of diamond at temperatures generally between 400 °C and 1 000 °C, or in order to make DLC ("Diamond Like Carbon") type carbon films, generally at low temperature (20 °C to 400 °C) and with a high level of C - C sp<sup>3</sup> bonding, in particular for their  
25 mechanical properties.

Such films are principally amorphous.

More precisely, the present application is particularly concerned with the preparation of carbon films formed of nanotubes or nanofibres.

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Table I, at the end of the description, shows different devices and processes for depositing, under vacuum, carbon films, used mainly for depositing emissive carbon.

5 This table highlights two different categories of deposition processes.

The first category involves CVD processes ("Chemical Vapour Deposition") in which a gas of organic molecules (often methane) is introduced as a mixture, with or  
10 without hydrogen, into a device that enables the C - C, C - H and H - H bonds to be broken by electron impact with, for example, the use of a hot filament, the introduction of microwave power, the use of a radio frequency (RF) polarisation or the use of an electron cyclotron  
15 resonance (ECR).

Depending on the device used, the operating pressure is either high (filament, microwave, radio frequency) or low (ECR, RF). The result is a dissociation and an ionisation of the particles, which increases as the  
20 pressure decreases. The energy that needs to be supplied for the reaction that transforms the gas into a solid is considerably decreased by the breaking of the covalent bonds (for example  $\text{CH}_4$ ) of organic molecules.

It is thus possible to obtain graphite or diamond  
25 type crystallised structures at lower substrate temperatures (for example, 400 °C instead of 800 °C). The polarisation of the substrate also makes it possible to favour crystallisation at lower temperature, thus enabling the use of a wider variety of substrates.

The second category of deposition processes groups together the processes, called PVD processes (Physical Vapour Deposition), which involve the direct deposition of carbon atoms or ions, which may be achieved by spraying a graphite target by arc, by laser ablation, by a beam of ions or by evaporation.

The quality and the structure of the films, at a given temperature, mainly depend on the energy of the incident carbon ions or atoms.

In the case of the preparation of carbon nanotube or nanofibre films, which is of particular interest to us, within the scope of the present application, the PVD and CVD processes described above are also used.

Thus documents (18) and (19) concern processes for producing carbon nanotubes by PVD processes, with a direct supply of  $C^{\circ}$  carbon atoms by laser ablation or electric arc. Document (18) describes, more precisely, a process for preparing carbon nanotubes using evaporation by arc between two graphite electrodes in helium, at high pressure (50 - 1 520 torrs). Binary mixtures of metals from the platinum group, such as rhodium and platinum, are used as catalysts.

The object of document (19) is the synthesis of tube type carbon structures in the form of pins by evaporation by arc discharging with a carbon electrode, within an enclosure filled with argon at 100 torrs.

The nanotubes or nanofibres can also be prepared by CVD processes, via catalytic de-hydrogenation of organic molecules such as acetylene or methane.

The device used may be make use of hot filaments, a radio frequency system or the injection of microwaves at high pressure, which generates atomic hydrogen and radicals or ions, such as  $\text{CH}_3^+$ ,  $\text{CH}_3^0$ ,  $\text{CH}^0$ , etc.

However, it should be pointed out that forming truly organised architectures of carbon nanofibres or nanotubes and not random and unorganised deposits has been explored up to now.

Thus, document (15) describes the synthesis of aligned carbon nanotubes using a process based on PECVD (Plasma Enhanced Chemical Vapour Deposition) of carbon from the decomposition of acetylene from a gaseous mixture of acetylene and nitrogen, with the deposition being catalysed by microparticles of iron imprisoned within the porous silica that forms the substrate.

Images obtained by scanning electron microscope show that the nanotubes are markedly perpendicular to the surface of the silica and form rows of tubes separated from each other by around 50 micrometers length and spaces of around 100 manometers.

Document (16) also describes the growth of orientated carbon nanotubes on monocrystalline and polycrystalline nickel substrates by the PECVD process, by using a hot filament. The carbon nanotubes have diameters of 10 to 500 nm and a length of 0.1 to 50 micrometers. Acetylene is used as the carbon source and ammonia is used as the diluting gas and for the catalysis.

Document (17) concerns the growth of films of carbon nanotubes on silicon substrates by CVD, from a mixture of methane and hydrogen, using a microwave plasma at a substrate temperature of 900 °C to 1 000 °C. Iron or nickel is deposited, beforehand, on the substrate in order to act as a catalytic seed for growing the nanotubes.

None of the processes described above allow organised architectures of carbon nanofibres or nanotubes to be made with strong bonds between the tubes in order to form a spider's web (2D structure).

We have seen that the alignment of the nanofibres or nanotubes could certainly be obtained (15) (16), but that, unless particular precautions are taken, the carbon nanotubes often develop (17) in a random unorganised manner, in the form of a jumble of filaments or spike structures without C - C bonds between the tubes (1D structure).

Although attempts, aiming to develop interconnections, have been carried out (18) by adding nanograins of catalyst, one again obtains, in this case, a disordered and random structure without strong C - C bonds between the tubes.

In addition, none of the processes described above allow films of nanotubes to be prepared and, moreover, organised architectures of carbon nanofibres or nanotubes, such as webs of nanofibres or nanotubes directly from organic molecules and without a catalyst.



Finally none of the processes allows the deposition of nanofibres or nanotubes over a large surface, in other words generally greater than or equal to  $1 \text{ m}^2$ .

There is therefore a need for a process for  
5 depositing webs of carbon nanofibres or nanotubes, not requiring a catalyst, which allows the deposition of such nano-architectures over large areas at a relatively low temperature.

The aim of the present invention is thus to provide  
10 a process for depositing webs of carbon nanofibres or nanotubes that meets, amongst other things, all of the requirements mentioned above.

The aim of the present invention is also to provide  
15 a process for depositing webs of carbon nanofibres or nanotubes that does not have the disadvantages, defects and limitations of the processes of the prior art and which resolve the problems of the prior art.

This aim and others are achieved, according to the  
20 present invention, by a process for depositing a web of carbon nanofibres or nanotubes onto a substrate by electron cyclotron resonance plasma, in the absence of a catalyst, by the injection of a microwave power into a deposition chamber comprising a magnetic structure with a highly unbalanced magnetic mirror, and at least one  
25 electronic cyclotron resonance zone within the said deposition chamber and opposite the said substrate, in which, at a pressure less than  $10^{-4}$  mbar, the ionisation and / or the dissociation of a gas containing carbon is induced in the said magnetic mirror at the centre of the

deposition chamber, thus producing species that are deposited on the said substrate, which is heated.

More precisely, the said process comprises the following steps:

- 5       - Heating the substrate
- Establishing a pressure equal to or less than  $10^{-4}$  mbar of a gas containing carbon
- Injecting microwave power, and creating a plasma from the said gas containing carbon, for a magnetic field
- 10     value corresponding to the electron cyclotronic resonance
- Creating a potential difference between the plasma and the substrate
- Dissociating and / or ionising the molecules in the said magnetic mirror at the centre of the deposition
- 15     chamber
- Depositing the species formed on the said substrate in order to obtain the webs of carbon nanofibres or nanotubes

20     In a particularly advantageous embodiment of the invention, the steps are carried out at the same time.

      In fact, the process according to the invention can be placed between the two extreme processes, namely PVD (Physical Vapour Deposition) and CVD (Chemical Vapour Deposition), and it constitutes an excellent compromise

25     between these two techniques, without having any of the disadvantages.

      The process according to the invention meets the requirements mentioned above and resolves the problems of the processes of the prior art and, in particular, unlike

the processes for depositing nanofibres or nanotubes according to the prior art, the process according to the invention, which uses a specific ECR plasma, enables deposits to be formed on very large surfaces, greater  
5 than, for example, 1 m<sup>2</sup>.

In the process according to the invention, a source of specific ECR plasma is used, which is a confining source, due to the implementation of a magnetic structure with a highly unbalanced magnetic mirror.

10 Furthermore, the ECR electronic cyclotron resonance zone, unlike most ECR plasma processes (20), is located, according to the invention, within the interior of the deposition chamber itself, opposite the substrate, and is thus integrated with it, and there is therefore no  
15 separation between the ECR plasma reaction chamber and the deposition chamber.

Then, in the process according to the invention, the said ECR plasma source, specific and confining, is used at very low pressure, generally less than 10<sup>-4</sup> mbar.

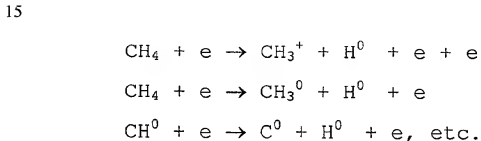
20 It is essentially the combination of this specific and confining ECR plasma source with this very low pressure that makes it possible, in the process according to the invention, to strongly dissociate the organic molecules in order to obtain films formed from webs of  
25 carbon nanofibres or nanotubes, or networks of interconnected carbon nanofibres or tubes, as in a spider's web.

The ECR plasma, created according to the invention, is a stationary, stable plasma that, once installed,

persists and stabilises itself. Complete dissociation of the molecules is obtained, going up to the end of any possible dissociation. For example, methane can dissociate to give  $C^0$  species.

- 5 More precisely, it can be said that it is the lifetime of the plasma particles that increases and not the lifetime of the plasma.

In other words, this notable magnetic confinement makes it possible to increase the lifetime of the ions and the electrons that remain trapped in the magnetic mirror at the centre of the deposition chamber and along the field lines. The level of dissociation and ionisation of the molecules in the plasma is thus increased with the following types of electron collisions:



- 20 The low pressure increases the energy of the electrons and reduces re-combination.

The deposited carbon is generally a graphite type carbon with a minority proportion of  $sp^3$  bonds and a majority proportion, for example greater than 80 %, of  $sp^2$  bonds.

25 In a surprising manner, according to the invention, it has been noted that for a pressure that does not conform with the invention, in other words a pressure greater than  $10^{-4}$  mbar, there is practically no growth of

such carbon films but, on the other hand, the appearance of grains of graphite or diamond of various dimensions with, sometimes, cauliflower or lava cluster morphologies.

- 5        Films of carbon fibres, with the structure described above and without a catalyst, have never been obtained by the processes of the prior art.

Moreover, and according to an essential advantage of the process according to the invention, the structures of  
10    the web like films are obtained directly from an organic compound, without requiring a catalyst, such as a metal, for example nickel, cobalt or another metal.

The magnetic structure with a highly unbalanced magnetic mirror, according to the invention, is such that  
15    the magnetic field is maximum ( $B_{\max}$ ) at the microwave injection, then the magnetic field is minimum ( $B_{\min}$ ) at the centre of the deposition chamber, and finally the magnetic field increases on the substrate ( $B_{\text{substrate}}$ ).

In other words, it involves a strong magnetic mirror  
20    upstream at the injection and a weaker magnetic mirror downstream, in other words at the substrate level.

Advantageously, according to the invention the mirror ratio upstream, at the microwave injection, defined by  $r_1 = B_{\max} / B_{\min}$  is greater than 4.

- 25        This high mirror ratio makes it possible to make ionised particles (ions and electrons) diffuse towards the substrate under the effect of a decreasing gradient.

Advantageously, the mirror ratio, downstream towards the substrate, defined by  $r_2 = B_{\text{substrate}} / B_{\text{min}}$  is greater than or equal to 1.5, for example equal to around 1.5.

Preferably, according to the invention, the  
5 substrate is heated to a temperature of 500 °C to 750 °C, and preferably 550 °C to 700 °C, in order to provide the activation energy required for growth.

The substrate may be heated by electron bombardment or external heating; the electrons are those of the  
10 plasma, attracted by the substrate.

The substrate may be chosen from a wide variety of materials, whose deformation temperatures are greater than the operating temperature such as, for example, glass or silicon.

The substrate does not need to be a conductor.  
15 Whatever the case, it is the conductive carbon film that establishes the applied potential.

According to the invention, the pressure is maintained, preferably, at less than or equal to  $8.10^{-5}$   
20 mbar, in order to increase the energy of the electrons and reduce re-combination.

The gas containing carbon may contain carbon in any form whatever; any organic molecule is acceptable.

According to the invention, the gas containing  
25 carbon is, for example, methane, ethane, ethylene, acetylene and mixtures, possible supplemented with hydrogen, in any proportions.

Advantageously, the injection of the microwave power takes place at a frequency of 2.45 GHz, at a magnetic

field value of B, corresponding to the ECR, around 875 Gauss for a methane type gas.

Generally, the substrate is positively polarised, for example from + 20 volts to + 100 volts, with a flow  
5 of electrons that favours growth without a catalyst, in accordance with the invention, with the plasma connected to frame.

Another possibility consists in polarising the plasma negatively, for example from - 20 volts to - 100  
10 volts, with the substrate connected to frame.

The invention also concerns a device for electron cyclotron resonance plasma deposition of films of carbon nanofibre webs onto a substrate free of any catalyst, the said device comprising:

- 15 - a deposition chamber
- the means for creating a magnetic structure with a highly unbalanced magnetic mirror in the said deposition chamber
- an electron cyclotron resonance zone within the  
20 interior of the said deposition chamber and opposite the said substrate
- the means for injecting a microwave power into the said deposition chamber
- the means for creating a pressure less than  $10^{-4}$   
25 mbar of a gas containing carbon within the interior of the said deposition chamber.

The device according to the invention may comprise, in addition, means for heating the substrate, if the

substrate is not sufficiently heated by the electrons of the plasma bombarding the substrate.

The device according to the invention may also comprise, in addition, the means for creating a potential difference between the plasma and the substrate.

As has already be pointed out, the device according to the invention stands out from ECR plasma devices of the prior art (20) mainly by the fact that there is no separation between the plasma creation chamber, the diffusion, and the deposition chamber, since the ECR zone is integrated within the deposition chamber.

The invention concerns, in addition, a film, which may be on a substrate, formed of a web or network of interconnected carbon nanofibres or nanotubes, like a spider's web, the said film being, moreover, free of any catalyst.

This type of web like film structure has never been obtained by processes of the prior art and may be prepared, for the first time, by the process of the invention without a catalyst, due to the use of a specific, confining ECR plasma source, and a low pressure of less than  $10^{-4}$  mbar.

In other words, according to the invention, mono-architectures of carbon fibres or tubes are formed, which may be defined as webs ("nanowebs").

Unlike nanotubes created from catalytic nanograins, notably from metals, such as nickel, cobalt, etc., one obtains, according to the invention, without using any catalyst and, in a surprising manner, networks of



interconnected carbon nanofibres, such as in a spider's web.

The structure of the films according to the invention is an ordered fibrous structure and not a  
5 random, unordered structure, as with the prior art, where the films are, besides, polluted and contaminated by the catalyst.

"Free of catalyst" is taken to mean that the films, according to the invention, do not include elements that  
10 could be defined as catalysts, these elements being mainly metals, such as nickel, cobalt, iron, or that these elements are present in trace quantities or as normal impurities.

More precisely, the films according to the invention  
15 are made up of nanosegments of carbon linked between each other by strong carbon bonds, which constitutes a different morphology to the carbon nanofibre or nanotube structures of the prior art.

According to the invention, the deposited carbon is  
20 graphite type carbon with a minority proportion of sp<sup>3</sup> bonds and a majority proportion of sp<sup>2</sup> bonds, for example greater than 80 %.

"Nano-architecture" or "nanoweb" is generally taken to mean that the webs or networks of films according to  
25 the invention have an average mesh size of one or several tens of nm to several hundreds of nm, for example from 20 to 200 nm.

Preferably, the average mesh size is 100 nm.

In the same way, nanotubes or nanofibres is generally taken to mean that the diameter of the fibres or tubes is from one to several nm up to one or several tens of nm, for example from 1 to 100 nm, and preferably 20 nm.

The mesh size of the carbon fibre webs increases when the pressure of the gas, such as methane, is reduced, for example from  $8 \cdot 10^{-5}$  to  $6 \cdot 10^{-5}$  mbar.

The thickness of the films according to the invention is generally one or several nm to one or several tens of nm, for example from 1 to 100 nm.

The invention also concerns a structure with several layers (multi-layer structure) comprising at least two films of carbon nanofibre or nanotube webs according to the invention, which may be on a substrate.

This type of structure may comprise as many films as required by the application, and may have a thickness generally of from one or several tens of nm to one or several hundreds of nm, for example from 2 to 200 nm.

The structure on which the film or multi-layer structure described above is formed may be chosen from any of the substrates mentioned above; it could, for example, be glass, such a borosilicate glass or silicon.

It should be noted that, by extension, the films or structures according to the invention could also be called "webs".

The films according to the invention, formed from a web or network of carbon nanofibres or nanotubes have, in addition, apart from their specific structure, a certain

number of excellent properties, which make them particularly suitable for a wide range of applications.

The web or fibre of carbon according to the invention is an electrical conductor and refractory, like  
5 graphite.

Thus, it generally withstands temperature greater than 700 °C.

In addition, the mechanical strength of these films is excellent and these films are electron emitters under  
10 a field effect, at a field threshold of 10 to 20 V /  $\mu$ .

Moreover, the films are chemically inert at ambient temperature, like graphite.

Finally, for the first time, large areas of films or multi-layer structures, for example from 0.25 m<sup>2</sup> to 1 m<sup>2</sup>  
15 may be formed according to the invention, and without the use of catalysts.

The properties described above may be used to good benefit in numerous possible applications of films and multi-layer structures according to the invention, formed  
20 from a web or network of interconnected carbon nanofibres or nanotubes, like in a spider's web.

The invention thus concerns a filter, in particular a bacterial filter, or a virus filter, comprising at least one of the said films or the said multi-layer  
25 structures, which may be on a substrate or on a grid.

In fact, the average mesh size of the carbon nanofibre webs according to the invention corresponds to the best known bacterial filters. For further details, the reader may refer to the work by G. LEYRAL, J.

FIGARELLA and M. TERRET, Microbiologie Appliquée, volume 2, J. LANORE publisher, p. 150 (liquids) and p. 174 (gases).

5 In particular, in the case of liquids, filters that can be used for the sterilised filtration of particles and bacteria whose size is greater than  $0.2 \mu$  can be made,  $0.2 \mu$  corresponding to the size of the smallest bacteria.

10 The filters according to the invention will therefore be defined as bacterial filters. For certain films, the filters according to the invention could filter viruses.

15 The films or multi-layer structures according to the invention may, in an advantageous manner, have a large surface. This property is particularly profitably employed in filtration, where large filtration surfaces have to be available for use.

20 In the filters according to the invention, the film or multi-layer structure is spread out on a rigid mesh, for example a metal mesh, with larger mesh size, for example several hundreds of  $\mu$ , in order to allow filtration.

25 The invention also concerns electron accelerator or decelerator nanogrids comprising at least one film or at least one multi-layer structure according to the invention. The conductive and refractory properties of the films according to the invention are exploited in such nanogrids.

Moreover, the invention concerns a flat screen, in particular a large size flat screen, which comprises at least one film or at least one multi-layer structure according to the invention, which may be on a substrate.

5 The fact that these films or structures emit electrons by field effect and can thus advantageously replace the metallic microdots presently used in flat screens may thus be exploited.

The applications given above are only some examples  
10 of the applications of the films and structures according to the invention, which may be applied in all fields where their properties, in particular their mechanical strength, may be profitably employed.

15 Brief description of the drawings

Figure 1 illustrates an electron cyclotron resonance plasma source with rectangular coils for the implementation of the process according to the invention,  
20 with the substrate advantageously unwinding along one dimension.

Figure 2 shows the profile of the axial magnetic field of the plasma source.

Figures 3A and 3B are photographs taken by a  
25 scanning electron microscope (SEM) of multi-layers of carbon nanofibre webs deposited on a silicon substrate by the process according to the invention. One graduation represents 1  $\mu$ .

Figures 4A and 4B are photographs taken by a scanning electron microscope (SEM) of multi-layers of carbon nanofibre webs deposited on a borosilicate glass substrate by the process according to the invention. One graduation represents 1  $\mu$ .

Figure 5 is a photograph taken by a scanning electron microscope (SEM) of a single layer of carbon nanofibres deposited on a borosilicate glass substrate by the process according to the invention. One graduation represents 100 nm.

In a more detailed manner, the process according to the invention may be implemented, for example, with the device as described in Figure 1.

This device comprises essentially a deposition chamber (1) in which there is a substrate (2).

This substrate (2) may be driven, for example, by a translational rectilinear displacement (3). The substrate (2) may be polarised, negatively, positively or connected to frame.

Preferably, the substrate is positively polarised, generally from + 20 to + 100 volts, as this type of positive polarisation makes it possible to complete the dissociation of the organic molecules on the substrate.

The substrate generally has a flat shape and a size of 0.25 m<sup>2</sup> to 1 m<sup>2</sup> which is, as has been seen, one of the advantages of the invention which allows films of carbon fibres to be deposited on relatively large surfaces, for example on substrates from 0.25 m<sup>2</sup> to 1 m<sup>2</sup> and more, which is particularly interesting for making large size flat

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screens. The substrate is, for example, glass, such as a borosilicate type glass or silicon.

The substrate on which the films of carbon fibre are deposited may, also, preferably, be made out of a material that can be dissolved in an operation following the deposition operation, and the films of carbon fibre, thus separated from the initial substrate, are then spread out on a rigid mesh, for example, with larger mesh size, for example, made out of metal or alloy, such as tungsten or stainless steel, in the case where the web of carbon fibres is to be used as a bacterial filter.

The deposition chamber or enclosure (1) receives the power generated by one or several microwave emitters (arrow 13), via a coupler (4), which spreads out this power in the deposition chamber or enclosure.

This injection of microwave power into the enclosure produces the ionisation of a gas containing carbon under low pressure.

According to the invention, this low pressure is, as has already been indicated above, a pressure less than  $10^{-4}$  mbar and preferably  $8.10^{-5}$  mbar.

The low pressure within the deposition enclosure or chamber is maintained through pumping, represented by the arrow (5).

The gas containing carbon is, for its part, introduced upstream, in the coupler, for example via a pipe (6) fitted with an adjustment valve (7).

The gas is chosen, for example, from methane, ethane, ethylene, acetylene and their mixtures, possible mixed with hydrogen.

The coupler (4) comprises a microwave injection  
5 guide (8) ending in an elbow (9), which forms an angle of 90°, and which is connected to the deposition chamber or enclosure (1) perpendicular to it.

A microwave seal (10), for example made out of quartz, is placed in the wave guide between the admission  
10 wave guide (11) and the said 90° elbow (9).

This seal ensures the separation between the admission or injection guide (11) in which there is air at atmospheric pressure, and the elbow, as well as the deposition chamber or enclosure, which are under vacuum  
15 thanks to the pumping.

Due to the configuration described above, the microwave injection and the seal (10) are situated at 90° to the axis of the device, which makes it possible to avoid the seal being covered with carbon and ensures that  
20 the device operates in a continuous manner.

In accordance with the invention, the electron cyclotron resonance zone, represented by the reference (12) in Figure 1, is within the deposition chamber or enclosure itself and is opposite the substrate.

25 As a result, in the device of the invention, there is no separation between the plasma creation chamber (ECR), the diffusion and the deposition chamber, since, according to the invention, the ECR zone is integrated into the deposition chamber.



According to the invention, the microwave power is injected into a specific magnetic structure with a highly unbalanced magnetic mirror and comprising the electron cyclotron resonance zone (12), positioned as indicated above, within the deposition chamber (1) itself, which causes a dissociation and / or ionisation of the molecules making up the gas containing carbon, and produces species that are deposited on the said substrate.

The electron cyclotron resonance (ECR) magnetic field may be produced by conductor windings, such as coils or solenoids with a rectangular, square or cylindrical shape, or by permanent magnets.

In Figure 1, the magnetic field coils are rectangular magnetic field coils (14, 15, 16, 17).

The size of the deposition depends mainly on the area of the electron cyclotron resonance (ECR) magnetic field that is created. In the case of rectangular magnetic field coils (14, 15, 16, 17), shown in Figure 1, it is possible, for example, to obtain a plasma height of 25 cm, which can be extended to 1 metre.

According to the invention, the magnetic field created has a particular shape, forming a magnetic structure with a highly unbalanced magnetic mirror. Thus, the shape of the axial magnetic field created in the device in Figure 1 is represented in Figure 2, which gives the value of the axial magnetic field B (in Tesla) at various points located on the axis of the deposition

device; the abscissa represents a scale of length, with each graduation representing 10 cm.

In this figure, all of the vertical lines at the top of the graph represent the position of the rectangular magnetic field coils (14, 15, 16, 17) respectively supplied by currents of 370A, 370A, 900a and 900A.

In fact, according to the invention, it is the profile of the field that is important. To obtain this profile, the coils are supplied with currents in order to obtain the appropriate fields. For example, coils 14 and 15 are supplied with 370 A to give a field  $B = 600$  G, and coils 16 and 17 are supplied with 900 A to give a field  $B = 2\,700$  G.

In this case, the desired ratios given above, i.e.  $r_1 > 4$  and  $r_2 > 1.5$ , are indeed obtained.

On the curve representing the axial magnetic field are shown the positions of the deposition chamber, which is located between points A and B, the position of the substrate (point C), and the position of the electron cyclotron resonance (ECR) zone, represented by segment D. The arrow indicates the direction of the microwave injection and the injection of gas.

It can be seen that the magnetic field is at a maximum and high at the microwave injection, where it has a value, for example, of 2 700 G, the magnetic field is at a minimum, for example, of 600 G at the centre of the deposition chamber, and that the magnetic field then increases on the substrate.

One thus obtains a strong magnetic mirror at the injection point and a lower one downstream.

Typically, the injection mirror ratio  $r_1$  is greater than 4.

5 Thus, in the case of the device shown in Figure 1,  $r_1 = B_{\max} / B_{\min} = 2\,700\text{ G} / 600\text{ G} = 4.5$ .

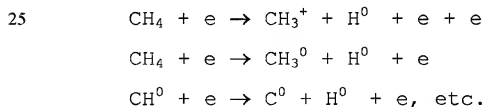
This high mirror ratio  $r_1$  makes it possible to diffuse the ionised particles, ions and electrons, towards the substrate under the effect of the decreasing  
10 gradient.

Typically, the mirror ratio  $r_2$  downstream, towards the substrate, is at least 1.5.

Thus, in the case of the device shown in Figure 1,  $r_2 = B_{\text{substrate}} / B_{\min} = 900\text{ G} / 600\text{ G} = 1.5$ .

15 As has already been indicated above, the notable magnetic confinement, according to the invention, makes it possible to increase the lifetime of the ions and electrons, which remain trapped in the magnetic mirror at the centre of the deposition chamber and along the field  
20 lines.

The level of dissociation and ionisation of the molecules in the plasma are thus increased with the following types of electron collisions:



The invention will now be described, in referring to the following examples, which are given by way of indication and are in no way limiting.

5        Examples

According to the invention, nanowebs of carbon fibres or tubes are deposition on various substrates without any catalyst. The device used is more or less the  
10    same as that shown in Figure 1.

Example 1

In this example, the gas used is methane and the  
15    substrate is silicon heated to 640 °C.

The pressure within the deposition chamber or enclosure is  $6.10^{-5}$  mbar.

In this way, multi-layers of a web or network of interconnected carbon nanofibres or nanotubes with a  
20    fibre diameter of around 20 nm are obtained, which are like a spider's web, and whose average mesh size is less than 200 nm.

Figures 3A and 3B are scanning electron microscope (SEM) photographs of such multi-layers.

25        We have also removed a film (single layer) from the multi-layer deposit and spread it out on the grid of a transmission electron microscope (TEM): this type of operation highlights the solidity of the films obtained by the process according to the invention. The

transmission electron microscope (TEM) photograph of the single layer is shown in Figure 5.

### Example 2

5

In this example the gas used is methane and the substrate is borosilicate glass heated to 680 °C.

The pressure within the deposition chamber or enclosure is  $8.10^{-5}$  mbar.

10

One thus obtains, in the same way as in Example 1, multi-layers of a web or network of interconnected carbon nanofibres or nanotubes with a fibre diameter of around 20 nm are obtained, which are like a spider's web, and whose average mesh size is near, or less than, 100 nm.

15

Figures 4A and 4B are scanning electron microscope (SEM) photographs of such multi-layers.

Apart from these SEM analyses and, if appropriate TEM analyses, other analyses may also be carried out. The results of all of the analyses carried out on the multi-layers or single layers in Example 1 and 2 are as follows:

20

- Composition: carbon (several % of hydrogen were identified) (determined by ERDA - Elastic Recoil Detection Analysis)

25

- TEM: diffraction photographs: the distance  $d$  (hkl) observed is 3.47 Å, which corresponds more to a nanotube type structure ( $d = 3.44$  Å) than crystals of flat graphite ( $d = 3.35$  Å).

It should be noted that the nanotubes are rolled up and concentric films with hexagonal carbon cycles, as in graphite (whose films remain flat).

5       - SEM: multi-layers of nanoweb with a fibre diameter of around 20 nm (see Figures 3A, 3A, 4A and 4B).

      - XPS (X-ray photoelectron spectroscopy): around 80 % of sp<sup>2</sup> bonds (flat or rolled up graphite).

      - Material that is a good electrical conductor like graphite

10       - X-ray analysis: graphite structure

      - Raman spectroscopy (optical method with a laser): graphite structure.

      - Temperature resistance: above 700 °C.

15       - Chemically inert at ambient temperature, like graphite.

Table I  
Examples of carbon film deposits

	Device	Ref.	Process	Substrate temperature (°C)	Pressure (mbar)	% gas	Field threshold emission (V / $\mu$ )	Substrate polarisation (V)
DIAMOND	Hot filament	(1)	CVD (chemical vapour deposition)	800 to 1000	30 to 50	1 % CH <sub>4</sub> / H <sub>2</sub>	20	
	Microwave	(2)	CVD	800		1 % CH <sub>4</sub> / C <sub>2</sub> H <sub>5</sub> OH	22 to 50	
	Microwave	(3)	CVD	650 to 1100	20 to 100	0.5 to 3 % CH <sub>4</sub>		0 to -300
	ECR	(4)	CVD	300 to 500	$2 \times 10^{-2}$ to 2	CH <sub>3</sub> OH or 0.5 % CH <sub>4</sub>		+ 30
	RF (or radio frequency)	(5)	CVD	700 to 1200	20 to 30	0.2 to 1 % CH <sub>4</sub> / H <sub>2</sub>		
DLC (Diamond like carbon)	Arc	(6)	C <sup>+</sup> ions	20	P ↓	Without	10	0 to -350
	RF	(7)	PECVD	20	$10^{-3}$ to $3 \times 10$	CH <sub>4</sub> or 10 % CH <sub>4</sub> with He	5 to 20	- 100
	Ion source	(8)	Bombardment of carbon by CO <sup>+</sup> ions	20			17	
	Laser	(9)	Ablation → carbon plasma	20			10	
	ECR	(10)	CVD	20 to 100	$10^{-3}$ to $10^{-2}$	20 % to 100 % CH <sub>4</sub>		- 50 to -500
Graphite	ECR	(11)	CVD	400 to 600	$6 \times 10^{-4}$	CH <sub>4</sub> or 10 - 50 % H <sub>2</sub>	10 to 30	+ 100
	Microwaves	(12)	CVD	800	40	1 % CH <sub>4</sub> 99 % H <sub>2</sub>	5	
	Soot	(13)	Soot bonding	20			20	
	Electrical discharge	(14)	CVD nanotubes	900		CH <sub>4</sub>		

CLAIMS

1. Process for depositing, by electron cyclotron resonance plasma, a web of carbons nanofibres or  
 5 nanotubes onto a substrate without any catalyst, by injection of a microwave power into a deposition chamber comprising a magnetic structure with a highly unbalanced magnetic mirror and at least one electron cyclotron resonance zone within the interior of the said deposition  
 10 chamber itself and opposite the said substrate, in which, under a pressure less than  $10^{-4}$  mbar, the ionisation and / or dissociation of a gas containing carbon is induced in the said magnetic mirror in the centre of the deposition chamber, thus producing species that deposit on the said  
 15 substrate, which is heated.

2. Process according to claim 1, comprising the following steps:

- heating the substrate
- establishing a pressure less than or equal to  $10^{-4}$   
 20 mbar of a gas containing carbon
- injecting the microwave power, and creating the plasma from the said gas containing carbon, for a value of the magnetic field corresponding to the electron cyclotron resonance
- 25 - creating a potential between the plasma and the substrate
- dissociating and / or ionising the molecules in the said magnetic mirror at the centre of the deposition chamber



- depositing the species formed on the said substrate in order to obtain a web of carbon nanofibres or nanotubes.

3. Process according to claim 2, in which the steps  
5 are carried out simultaneously.

4. Process according to any of claims 1 to 3, in which the deposited carbon is a graphite type carbon with a minority proportion of sp<sup>3</sup> bonds and a majority proportion of sp<sup>2</sup> bonds.

10 5. Process according to claim 1, in which the said structure of the magnetic mirror is such that the magnetic field is maximum ( $B_{\max}$ ) at the microwave injection, then the magnetic field is minimum ( $B_{\min}$ ) at the centre of the deposition chamber and finally the  
15 magnetic field increases on the substrate ( $B_{\text{substrate}}$ ).

6. Process according to claim 1, in which the mirror ratio upstream at the microwave injection, defined by  $r_1 = B_{\max}$  (in Gauss) /  $B_{\min}$  (in Gauss) is greater than 4.

20 7. Process according to any of claims 1 to 6, in which the mirror ratio, downstream towards the substrate, defined by  $r_2 = B_{\text{substrate}}$  (in Gauss) /  $B_{\min}$  (in Gauss) is greater than or equal to 1.5.

8. Process according to any of claims 1 to 7, in which the substrate is heated to a temperature of 500 °C  
25 to 750 °C.

9. Process according to any of claims 1 to 8, in which the pressure is less than or equal to  $8.10^{-5}$  mbar.

10. Process according to any of claims 1 to 9, in which the said gas containing gas is chosen from methane,

ethane, ethylene, acetylene, and their mixtures, possibly supplemented with hydrogen.

11. Process according to claim 1, in which the heating of the substrate is achieved by electron bombardment or external heating.

12. Process according to claim 1, in which the injection of the microwave power takes place at a frequency of 2.45 GHz.

13. Process according to claim 1, in which the substrate is positively polarised, for example from + 20 volts to + 100 volts, and the plasma is connected to frame.

14. Process according to claim 1, in which the plasma is negatively polarised, for example from - 20 to - 100 volts and the substrate is connected to frame.

15. Device for depositing, by electron cyclotron resonance (ECR) plasma, films of carbon nanofibre webs onto a substrate without a catalyst, the said device comprising:

- 20 - a deposition chamber
- the means for creating a magnetic structure with a strongly unbalanced magnetic mirror in the said deposition chamber
- an electron cyclotron resonance zone within the interior of the said deposition chamber and opposite the said substrate
- 25 - the means for injecting a microwave power into the said deposition chamber

- the means for creating a pressure less than  $10^{-4}$  mbar of a gas containing carbon within the interior of the said deposition chamber

16. Device according to claim 15 comprising, in addition, the means for heating the substrate.

17. Device according to either of claims 15 and 16 comprising, in addition, the means for creating a potential difference between the plasma and the substrate.

18. Film, which may be on the substrate, formed of a web or network of interconnected carbon nanofibres or nanotubes, like a spider's web, the said film being free of any catalyst.

19. Film according to claim 18, in which the carbon is a graphite type carbon with a minority proportion of  $sp^3$  bonds and a majority proportion of  $sp^2$  bonds.

20. Film according to either of claims 18 or 19, in which the web or network has an average mesh size of from one or several tens of nm to one or several hundreds of nm, for example from 20 to 200 nm.

21. Film according to any of claims 18 to 20, in which the average diameter of the nanofibres or nanotubes is from one or several nm to one or several tens of nm, for example from 1 to 100 nm.

22. Structure with several layers - or multi-layer structures - comprising at least two layers of carbon nanofibre or nanotube webs according to any of claims 18 to 21.

23. Filter comprising at least one film according to any of claims 18 to 21 or at least one multi-layer structure according to claim 22, which may be on a substrate.

5 24. Filter according to claim 23, in which the said film or multi-layer structure is spread out over a rigid grid with larger mesh size.

25. Electron accelerating or decelerating nanogrid comprising at least one film according to any of claims 10 18 to 21, or at least one multi-layer structure according to claim 22.

26. Flat screen, in particular with large dimensions, comprising a film according to any of claims 18 to 21, or at least one multi-layer structure according 15 to claim 22, which may be on a substrate.

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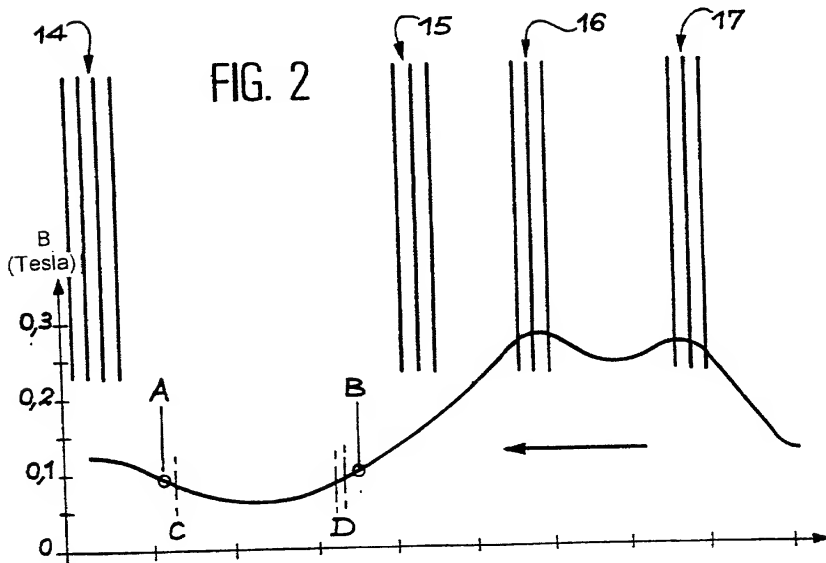
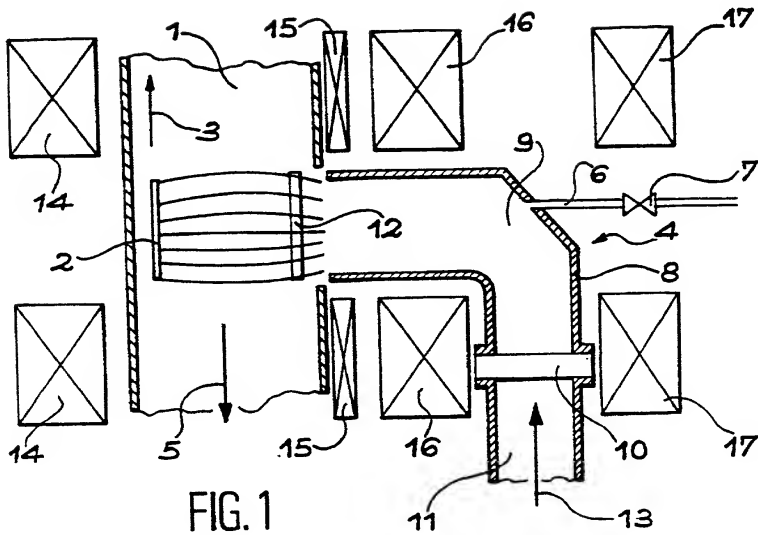
## ABSTRACT OF THE DISCLOSURE

Process and device for depositing, by electron cyclotron resonance plasma, a web of carbon nanofibres or nanotubes, on a substrate without a catalyst, by injection of a microwave power into a deposition chamber comprising a magnetic structure with a highly unbalanced magnetic mirror and at least one electron cyclotron resonance zone within the interior of the said deposition chamber itself and opposite the said substrate, in which, under a pressure of less than  $10^{-4}$  mbar, the ionisation and / or dissociation of a gas containing carbon is induced in the said magnetic mirror in the centre of the deposition chamber, thus producing species that deposit on the said substrate, which is heated.

In addition, the inventions concerns a film, which may be on a substrate, formed from a web or a network of interconnected carbon nanofibres or nanotubes, like a spider's web, the said film being exempt of a catalyst and a structure of several layers - a multi-layer structure - comprising at least two layers of a web of carbon nanofibres or nanotubes, as well as filters, electron accelerating or decelerating nanogrids and flat screens comprising such films or structures.

No figure.

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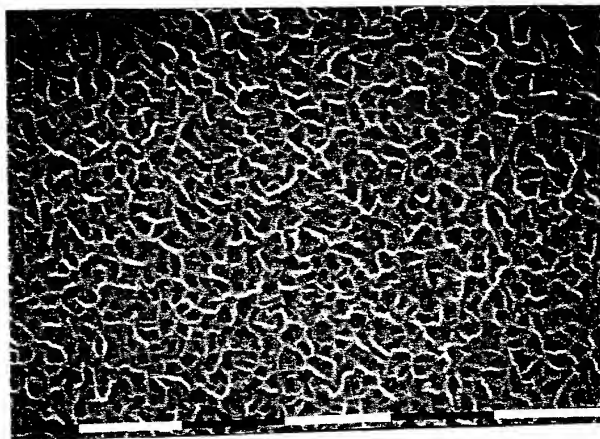


FIG. 3A

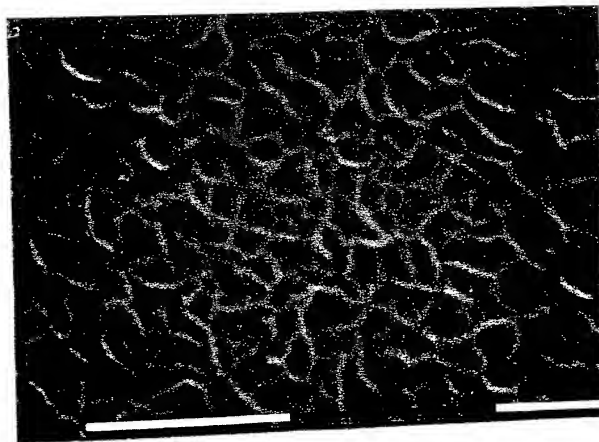


FIG. 3B

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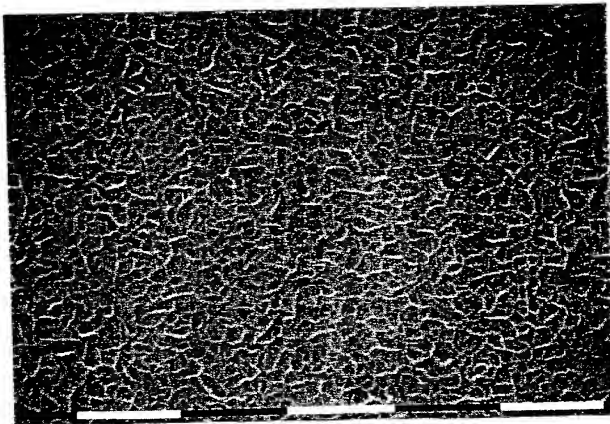


FIG. 4A

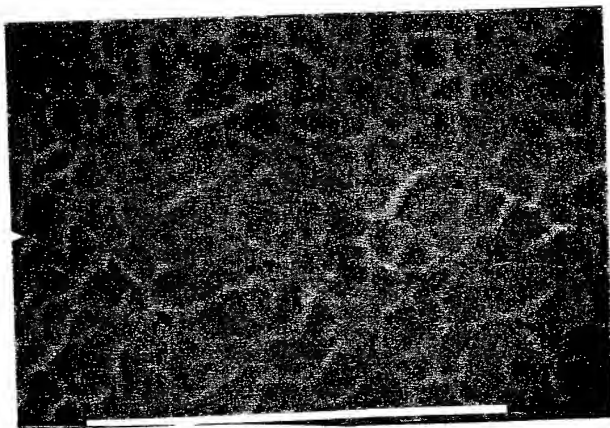
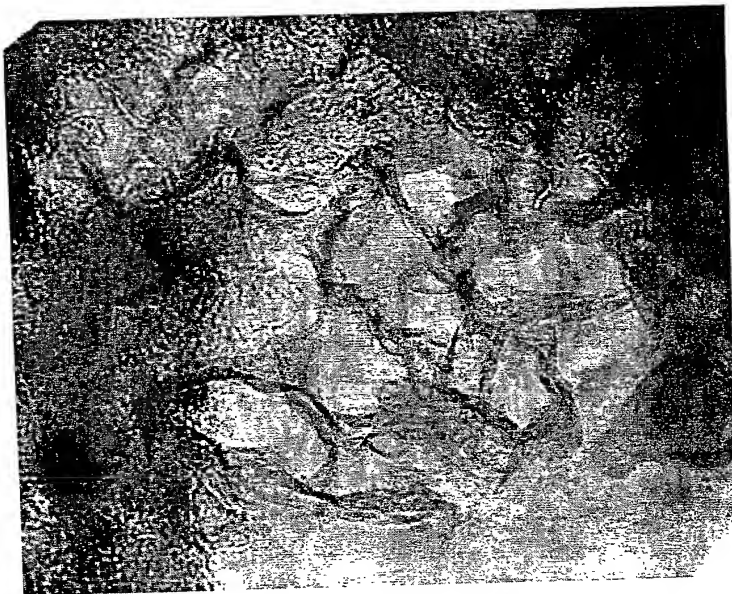


FIG. 4B



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100 nm

FIG. 5

*Declaration, Power Of Attorney and Petition*

WE (I) the undersigned inventor(s), hereby declare(s) that :

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
PROCESS AND DEVICE FOR DEPOSITING, BY ELECTRON CYCLOTRON RESONANCE PLASMA, FILMS OF  
CARBON NANOFIBRE WEBS AND THE FILMS OF WEBS THUS OBTAINED

the specification of which

- ☐ is attached hereto.
- ☐ was filed on  
as Application Serial No.  
and amended on
- ☒ was filed as PCT international application  
Number PCT/FR00/01827  
on June 29, 2000  
and was amended under PCT Article 19  
on

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application (s)

Application No.	Country	Day/month/Year	Priority Claimed	
99 08473	FRANCE	01 JULY 1999	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
_____	_____	_____	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____	_____	_____	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____	_____	_____	<input type="checkbox"/> YES	<input type="checkbox"/> NO

10019273-010200

We (I) hereby claim the benefit under Title 35, United States Code, § 119 (e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of prior application and the national or PCT International filing date of this application.

Application Serial No.

Filing Date

Status (pending, patented, abandoned)

And we (I) hereby appoint : Norman F. Oblon, Registration Number 24,618; Marvin J. Spivak, Registration Number 24,913; C. Irvin McClelland, Registration Number 21,214; Gregory J. Maier, Registration Number 25,599; Arthur I. Neustadt, Registration Number 24,854; Richard D. Kelly, Registration Number 27,757; James D. Hamilton, Registration Number 28,421; Eckhard H. Kuesters, Registration Number 28,870; Robert T. Pous, Registration Number 29,099; Charles L. Gholz, Registration Number 26,395; Vincent J. Sunderdick, Registration Number 29,004; William E. Beaumont, Registration Number 30,996; Steven B. Kelber, Registration Number 30,073; Robert F. Gnuse, Registration Number 27,295; Jean-Paul Lavalleye, Registration Number 31,451; William B. Walker, Registration Number 22,498; Timothy R. Schwartz, Registration Number 32,171; Stephen G. Baxter, Registration Number 32,884; Martin M., Zoltick, Registration Number 35,745; Robert W. Hahl, Registration Number 33,893; and Richard L. Treanor, Registration Number 36,379; our (my) attorneys, with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith; and we (I) hereby request that all correspondence regarding this application be sent to the firm of OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C., whose post Office Address is : Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true ; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardise the validity of the application or any patent issuing thereon.

DELAUNAY Marc

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Signature of Inventor

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Post Office Address : The same as residence

NAME OF THIRD INVENTOR

Signature of Inventor

Date

NAME OF FOURTH INVENTOR

Signature of Inventor

Date

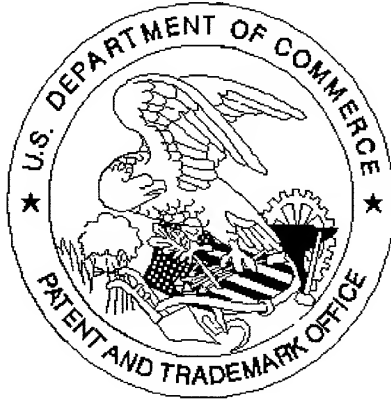
NAME OF FIFTH INVENTOR

Signature of Inventor

Date

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✓ *Scanned copy is best available. Drawings fig 3A, 3B,  
4A, 4B, and fig 5 are very dark.*